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EXAMINER

KIELIN, ERIK J

ART UNIT	PAPER NUMBER
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2813

DATE MAILED: 11/30/2001

31

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.  
08/636,069

Applicant(s)  
Sandhu et al.

Examiner  
Erik Kielin

Art Unit  
2813



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on Oct 1, 2001.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1, 2, 4-6, 31-36, and 38-54 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 2, 4-6, 31-36, and 38-54 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some\* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \*See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

- 15) ☐ Notice of References Cited (PTO-892)
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 17) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_
- 18) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 19) ☐ Notice of Informal Patent Application (PTO-152)
- 20) ☐ Other: \_\_\_\_\_

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## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 2, 4-6, 31, 33-36, 38, 39-41, 42, 43-44, 45-47, 48-49, 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP 2-050966 (**Hisamune**) in view of U.S. Patent 5,000,113 (**Wang et al.**).

**Hisamune** clearly discloses Applicant's process, including illuminating ozone and a silicon source gas and optionally a dopant (trimethylphosphate) with a mercury arc lamp to deposit silicon dioxide or doped silicon dioxide onto a wafer surface. **Hisamune** further teaches that the reason for irradiating the inside of the reaction furnace with UV radiation is to induce a photochemical reaction of the gaseous starting materials with ozone (translation, p. 5, lns. 20-21). The apparatus configuration in **Hisamune** (Fig. 1) clearly shows that both the reaction volume and substrate 102 are illuminated by UV lamps 105. **Wang** teaches the carrier gas and similar deposition pressures.

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Given that **Hisamune** is clearly illuminating the reaction volume, it is held absent evidence to the contrary, that the reactants are inherently undergoing (1) “heterogeneous chemical reactions,” within a chemically reactive distance of the substrate, and (2) the fixed charge is necessarily reduced. In as much as Hisamune uses parameters of temperature and pressure which are clearly as disclosed in Applicant’s specification, it would be wholly impossible for the dielectric film produced by Hisamune to *avoid* a reduction in fixed charge --especially since it is the atomic oxygen which Applicant indicates is the means by which the fixed charge is reduced, not the presently claimed temperature and pressure ranges. Otherwise, Applicant’s specification must be admittedly not enabled since both temperature and pressure ranges which would not work by Applicant’s admission have been disclosed.

**Hisamune** does not (1) expressly teach a temperature range of “at least 480 C to 700 C”; (2) specifically indicate a pressure range of 200 to 760 during deposition; or (3) specifically state in the Abstract that the functional atomic oxygen would be increased by the light source and thereby reduce the fixed charge in the oxide layer.

Regarding (1) and (2), (and claims 33-35) **Wang** teaches a similar TEOS/ozone process where helium is used as a carrier gas and a pressure range of about 10-200 torr is taught (col. 20, lines 40-49).

Also, it has been held that ranges near the prior art general conditions is *prima facie* obvious absent evidence of unexpected results. See *In re Huang*, 40 USPQ2d 1685, 1688(Fed. Cir. 1996)(claimed ranges of a result effective variable, which do **not** overlap the prior art ranges,

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are unpatentable unless they produce a new and unexpected result which is different in **kind** and not merely in degree from the results of the prior art). Applicant's specification indicates deposition parameters including a temperature range of 200-700 C with a preferred of 480 C and a pressure range of 0.1 to 760 torr with 200 torr preferred (specification, page 7) -- not the ranges now claimed: 480-700 C and 200-760 torr. Applicant's specification provides no evidence to indicate unexpected results as required by the precedent in *In re Huang*. Instead, Applicant's specification indicates that Hisamune's temperature is near Applicant's preferred value and Wang's pressure of 200 torr is at Applicant's preferred value.

Hisamune teaches that films may be deposited at temperatures lower than 400° C while still achieving **sufficient** growth rates which does not rule out higher temperatures. Therefore, it would have been obvious to choose the temperature of Applicant's claimed process because Hisamune teaches temperatures near Applicant's and because Hisamune teaches that temperature is related to deposition rate and film density, so that even though lower temperatures may be usable, it would be obvious to increase temperature to provide an even faster deposition rate and more efficient processing which provides a quality silicon oxide film, according to the precedent set by *In re Huang*. Further, Applicant's specification fails to show any criticality to the any temperature range -- especially not the one now claimed -- and has not presented evidence of unexpected reduction of fixed charge of the oxide layer by using either the temperature or the pressure range now claimed, which is different in kind and not degree, as required by the precedent established in *In re Huang*.

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Further, it has been held that optimization of result effective variables is obvious. Therefore, it would have been obvious to optimize the pressure and temperature to provide effective oxidation of TEOS to form the film taught by the **Hisamune** reference, according to the precedent set by *In re Aller*. It further would have been obvious to choose Applicant's claimed pressures in the Hisamune process because **Wang** teach a similar process with overlapping pressures, according to the precedent set by *In re Wertheim*.

Also, it would have been obvious to use helium as a carrier gas because **Hisamune** suggests that other carrier gases may be used and because Wang et al. teaches it is well known in the art for use in similar processes.

Since **Hisamune** uses the same light source as Applicant, it is inherent that the functional oxygen concentration would be elevated and therefore have the same effect on reducing the fixed charge as Applicant has recognized. The claiming of a new use, new function or unknown property which is inherently present in the prior art does not necessarily make the claim patentable. See *In re Best*, 562 F.2d 1252, 1254, 195 USPQ 430, 433 (CCPA 1977). See also *In re Swinhart*, 169 USPQ 226,229 (CCPA 1971) (where the Patent Office has reason to believe that a functional limitation asserted to be critical for establishing novelty in the claimed subject matter may, in fact, be an inherent characteristic of the prior art, it possesses the authority to require the applicant to prove that subject matter shown to be in the prior art does not possess the characteristics relied on) and *In re Fitzgerald*, 205 USPQ 594 (CCPA 1980) ( the burden of proof can be shifted to the applicant to show that subject matter of the prior art does not possess the

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characteristic relied on whether the rejection is based on inherency under 35 USC 102 or obviousness under 35 USC 103).

Regarding independent claims 31, 42, 51, 52, Applicant clearly states in the specification,

“The source of light should be of a high intensity nature and can be supplied by any appropriate source, **preferably** in the present example, an array of mercury arc lamps positioned to uniformly **illuminate the reaction surface of the substrate.**” (page 6, lines 3-6)

which indicates that, at best, this unclaimed feature is a matter of design choice --especially since Applicant clearly **prefers** to illuminate the “surface of the substrate” --not just the reaction volume. It would have been obvious to one of ordinary skill in the art at the time the invention was made to illuminate the reaction volume without illuminating the substrate as a matter of design choice because it is known that ozone absorbs UV radiation to form  $O_2$  and active atomic oxygen so that the substrate would not have to be illuminated to achieve the active oxygen. (See the Inoue article, as discussed further below).

Regarding claim 36, the only difference between applicant's claim 36 and the **Hisamune** process is that the exact ozone concentrations are not taught. However, it has been held that optimization of result effective variables is obvious. See *In re Aller* 105 USPQ 233, 255 (C.C.P.A. 1955). Therefore, it would have been obvious to optimize the required ozone concentrations to provide effective oxidation of TEOS to form the film taught by the **Hisamune** reference, according to the precedent set by *In re Aller*.

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3. Claims 1, 2, 4-6, **31**, 33-36, 38, **39-41**, **42**, **43-44**, **45-47**, **48-49**, **50** are rejected under 35 U.S.C. 103(a) as being clearly anticipated by the article by **Inoue** et al. entitled, "Growth of SiO<sub>2</sub> thin film by double-excitation photoinduced chemical vapor deposition incorporated with microwave excitation of oxygen" *Journal of Applied Physics* 64(11), 1 Dec. 1988, in view of **Hisamune** and **Wang**.

**Inoue** clearly discloses the each of the features of the instant invention including, the reaction volume, the SiO<sub>2</sub> precursor and ozone, heating the substrate to 25-300 C, a pressure of 0.2 Torr, "illumnat[ing] the space just over wafer horizontally" (i.e. the reaction volume) without directly exposing the substrate surface to increase the atomic oxygen concentration which inherently reduces the fixed charge in the SiO<sub>2</sub> layer. (See especially Fig. 1, right-hand column of page 6496 and left-hand column of page 6498.). It is held, absent evidence to the contrary, that the reactant gases are undergoing heterogeneous reactions given that the set up is as described by Applicant. See In re Best, 195 USPQ 428 (CCPA 1977) and In re Fitzgerald, 205 USPQ 594 (CCPA 1980).

**Inoue** does not teach Applicant's claimed temperature range but does teach a range overlapping Applicant's disclosed temperature range of 200-700 (Applicant's specification page 7, line 6). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the temperature to achieve higher deposition rates and a more efficient deposition, as indicated above, and because Applicant has provided no evidence to indicate that the deposition temperature provides unexpected results in reducing the fixed charge in the SiO<sub>2</sub>



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layer relative to the prior art temperature range. It is especially important that evidence be provided since Applicant discloses and claims that it is the active oxygen generated by exposing the ozone to the UV light source which reduces the fixed charge in the SiO<sub>2</sub> layer and is not due to the temperature range, pressure range, ozone concentration, SiO<sub>2</sub> source gases or carrier gases used, dopants used, etcetera.

**Hisamune**, as indicated above, teaches a very similar method to **Inoue** and teaches Applicant's dopant and approximate temperature both of which would be obvious to apply to **Inoue** for the reasons indicated in **Hisamune** because forming doped glass, such as BSG, PSG, FSG, BPSG, is highly desired in the art and is also merely a matter of design choice since the dopant is not indicated by Applicant to impact the fixed charge in any way whatsoever. One of ordinary skill could add dopant to the reactant gas mixture as taught by **Hisamune** and have a reasonable expectation of success using the method of **Inoue** to form a doped glass. Alternatively, it would have been obvious to modify **Hisamune** in view of **Inoue** to use the direction of illumination taught in **Inoue**, for the reasons indicated in **Inoue**.

**Inoue** does not teach Applicant's pressure range or silicon source, but **Wang** is applied as above.

4. Claims 32, **51**, **52**, are rejected under 35 U.S.C. 103(a) as being unpatentable over either of **Hisamune** and **Inoue** as applied to claim **31** above, and further in view of U.S. Patent 4,287,083 (**McDowell et al.**).

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**Hisamune** and **Inoue** teach that a mercury lamp should be used, but does not specifically teach a mercury arc vapor lamp.

However, **McDowell** teaches that in the coating industry, mercury arc vapor lamps are well known for providing UV radiation.

Therefore, it would have been obvious to one of ordinary skill in the art at time of the invention to apply the teachings of **McDowell** because a mercury lamp is required and **McDowell** teaches that mercury arc vapor lamps work effectively for providing the requisite UV radiation.

5. Claims 1, 2, 4-10, 41, 43-44, 45-47, 48-49, 50 are rejected under 35 U.S.C. 103(a) as unpatentable over **Hisamune** in view of **Wang** and **Imai** et al. (US 5,633,211) or, alternatively, **Inoue** in view of **Hisamune**, **Wang**, and **Imai**.

Each of **Hisamune** and **Inoue** clearly teach Applicant's process of illuminating ozone and a silicon source gas with a mercury arc lamp to deposit silicon dioxide onto a wafer surface.

**Hisamune** further teaches that the reason for irradiating the inside of the reaction furnace with UV radiation is to induce a photochemical reaction of the gaseous starting materials with ozone (translation, p. 5, lns. 20-21). **Inoue** further teaches that the UV radiation produces active atomic oxygen. The apparatus configuration in **Hisamune** (Fig. 1) clearly shows that both the reaction volume and substrate 102 are illuminated by UV lamps 105. **Inoue** teaches illumination of the space just over the substrate. **Wang** teaches the carrier gas and similar deposition pressures.

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**Hisamune** teaches a phosphorus dopant may be added, but does not teach a second dopant. However, **Imai** teaches that it is conventional to use both boron and phosphorus to form BPSG films which reflow at low temperatures (col. 1, lines 35-42 and col. 2, lines 6-10). Applicant's claimed boron source gases are taught (col. 1, lines 50-60). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to also use a boron source gas to form BPSG to allow reflow at lower temperatures to provide for a more planar surface, as taught by **Imai**. The same applies to Inoue for the addition of either one or two dopants, for the reasons indicated in **Imai**.

Regarding claim 46, the **Hisamune** and Inoue processes do not teach a fluorinated precursor. However, **Imai** teaches that TEOS may be substituted with a fluorinated precursor to provide better flow of the deposited layer (Abstract and col. 5, lines 41-42). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to apply the fluorinated precursor teachings of **Imai** to either of the **Hisamune** or **Inoue** processes for the reasons given by **Imai**. and because doped glasses are highly desired in the art and because the dopant has not been indicated by Applicant to affect the reduction in fixed charge of the resulting glass layer, as above.

6. Claims **53** and **54** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Hisamune** in view of **Wang** and **Imai**, or alternatively, over, Inoue in view of **Hisamune**, **Wang**, and **Imai** as applied to claim **52** above, and further in view of **McDowell** et al.

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**Hisamune, Inoue, Wang, and Imai** do not teach a mercury arc vapor lamp.

**McDowell et al** is applied as above.

***Response to Arguments***

7. Applicant's arguments filed 10/1/01 have been fully considered but they are not persuasive.

Applicant argues that the claimed temperature range -- amended from 200-700 C to 480-700 -- is different from the prior art. Similarly, in the present amendments, Applicant has added the additional limitation from of a pressure range of 200-760 torr. But the pressure range as originally claimed (claim 37) was 0.1-760 torr. Examiner notes with interest that Applicant appears to have used hindsight reconstruction in claiming the present pressure and temperature ranges, said ranges were only modified after being presented the applied prior art. As noted above, Applicant's specification clearly discloses that the broader temperature and pressure ranges are appropriate for the method. It makes it highly unlikely that the ranges --as now claimed-- provide some unexpected benefit or result as required by the precedent established by *In re Aller* and *In re Huang*.

Applicant continues to argue that the pressure range as *now* claimed is "unexpected," but this is not germane to the case law applied since said case law is directed to the results and not to some allegedly unexpected CVD process parameter. Given that the pressure range disclosed and originally claimed runs the entire gamut of pressure ranges used in CVD --low-pressure CVD, sub-atmospheric pressure CVD, and atmospheric pressure CVD-- it is highly unlikely that the

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range now claimed provides some unexpected results. **Again, Applicant may overcome the rejections by providing evidence of unexpected results.**

Further in this regard, Applicant's allegation that the pressure and temperature ranges are "unexpected" is merely **conclusory** statement made by Applicant's Representative. Even if the pressures and temperature as presently claimed were "unexpected," which Examiner does not admit to, this indicates nothing of the resulting dielectric film produced. In other words, just because Applicant's Representative alleges that the pressure and temperature ranges are "unexpected," does not constitute *evidence* that the dielectric films produced are any different than those films produced by the same method in the prior art. This is why evidence to distinguish Applicant's invention over the prior art is required, according to the aforementioned precedent. The claiming of a new use, new function or unknown property which is inherently present in the prior art does not necessarily make the claim patentable. See In re Best, 562 F.2d 1252, 1254, 195 USPQ 430, 433 (CCPA 1977).

Applicant argues, "Applicant states in its disclosure at page 7, line 21-26, 'The high intensity light source needs to be applied only to the reaction volume and can be supplied by an array of lamps arranged to give uniform illumination of said volume.'" This is irrelevant since this feature is not claimed. Lest Applicant ignore his own teachings, this selective illumination is optional, making the argument moot. Furthermore, it is notoriously well known in the art of photo-assisted CVD that the wavelength of light used stimulates the reaction of at least one of the

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**gaseous** species -- **not** the wafer. Therefore, the illumination of the wafer would not be essential, in general. Accordingly, the argument is moot.

Applicant argues that the inherency of the “heterogeneous reactions” and the “reaction volume” and the reduction of fixed charge, is improper because Applicant states that the feature “is not being asserted critical for establishing novelty” (page 4, 2nd paragraph, paper no.30). If this feature is not critical for establishing novelty, then it begs the question as to why this limitation is being argued or was even inserted into the claims in the first place, which was, of course, done by Applicant’s *amendment*. Applicant then contends that “all of Applicant’s claims are novel” (*ibid.*). If such novelty is the case, a point to which Examiner respectfully disagrees, then each of the limitations in the claims is necessarily critical in establishing novelty, since Applicant has stated that the entirety of claims is novel. Therefore, the case law applied (*In re Best* and *In re Fitzgerald*) was quite properly applied, as Applicant must agree, because Applicant has stated that all of the claims are novel. Accordingly, Applicant must provide evidence that such inherent features are absent.

Further in this regard, from a scientific standpoint, Examiner fails to see how the applied art could fail to have heterogeneous chemical reactions taking place in a chemically reactive distance from the surface of the substrate since the method as presently claimed is the same as disclosed in the applied art. In other words, the same ozone reactant and same light source are being used. How then has Applicant’s same method somehow enabled something different (i.e. heterogeneous reactions) to happen? The answer is that nothing different is happening. Therefore,

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heterogeneous reactions are necessarily happening, which is why inherency is invoked and why Applicant must provide evidence that such reactions are not somehow occurring, which Applicant continues to fail to do. Whether or not Applicant can “find” this in Hisamune is irrelevant because it is wholly unnecessary to discuss, those things that are inherently happening, because those skilled in the art already know that those things are happening. Also since the methods are the same, the resulting product must be the same; in other words, the fixed charge in the deposited oxide layer must inherently be reduced.

Applicant’s arguments directed to the temperature and pressure ranges as being somehow drawn to a rejection under 35 USC 112 are simply ignoring Examiner’s rejection. To restate, simply because Applicant has lopped off a portion of the originally claimed temperature and pressure ranges does not somehow **disable** Applicant’s specification with regard to the ranges disclosed in Applicant’s specification as **operable** in Applicant’s method in achieving Applicant’s objectives. Accordingly, simply because Applicant used hindsight reconstruction of the claims **after** being presented prior art which clearly disclosed the ranges which would achieve Applicant’s objectives, does not somehow disable the prior art simply because a portion of the originally claimed ranges were removed from the claims. This has nothing to do with 35 USC 112. Accordingly, Applicant’s arguments in this regard are moot. Applicant has ignored the entirety of the rejection.

Further in this regard, Applicant’s arguments concerning Wang are not persuasive since Applicant’s specification clearly indicates that a pressure range of 0.1-760 torr will work. Simply

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because Applicant now claims 200-760 torr, does not somehow mysteriously engender special abilities to this pressure range, as Applicant's specification clearly indicates otherwise. It would be very simple to claim the entirety of the typical parameters use in CVD (e.g. pressure, temperature, gases, flow rates) and then simply remove those already disclosed in the prior art and claim that the remaining portions are somehow novel. This is why the case law regarding routine optimization was properly applied. Applicant, accordingly, must provided evidence of unexpected results relative to the prior art ranges. It does not appear, however, that such results could exist since Applicant has clearly indicated in Applicant's specification that the pressure ranges used in the prior art 20-200 torr would necessarily work since Applicant's specification indicates overlapping ranges, 0.1-760 torr. How could the overlapping portion of the temperature and pressure ranges not work? If those portions do not work, then Applicant would be intentionally providing erroneous ranges, i.e. ranges that would not provide the result indicated by Applicant. Instead, Applicant has most likely provided those pressure and temperature ranges which actually work, which means that the applied art ranges must also work.

Further in this regard, Applicant argues, "The pressure range [of 200 to 760 torr] is therefore unexpected." (Emphasis original.) This argument is irrelevant since it is the **result of using** a given variable (e.g. a pressure) --not the **pressure** itself-- which must be "unexpected" and to which the case law is directed.

Applicant's arguments suggesting that the Hisamune teaching of photo-CVD must somehow teach away from other known parameters (e.g. temperature, pressure, flow rates, gases)



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used in other CVD methods is simply erroneous. Teaching away is an express indication that something would not work -- not an oversight of mention. One of ordinary skill would **not** throw out his knowledge of appropriate temperature and pressure ranges for CVD simply because a light initiator rather than a heat initiator was employed. Why? Because the kinetics of the chemical reactions occurring during CVD are still very significantly affected by such temperatures and pressures, as is well known in the art. The light just helps to start the reaction, in the instant case, by decomposing ozone. Accordingly, routine optimization of temperatures, pressures, etcetera, remains essential to any CVD method, be it thermal or plasma-assisted or photo-assisted, in order to optimize the resulting deposited layer for a given application. One of ordinary skill obviously optimizes, according to precedent.

These arguments are applicable to all of the applied art used in the rejections above.

### *Conclusion*

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. U.S. Patent 4,916,091 (Freeman et al.) also teaches a process similar to applicant's claims (see col. 16, ln. 63 to col. 17, ln. 55).

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO**

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MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

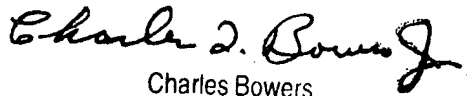
Any inquiry concerning this communication from examiner should be directed to Erik Kielin whose telephone number is (703) 306-5980 and e-mail address is erik.kielin@uspto.gov. The examiner can normally be reached by telephone on Monday through Thursday 9:00 AM until 7:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Bowers, can be reached at (703) 308-2417 or by e-mail at charles.bowers@uspto.gov. The fax phone number for the group is (703) 308-7722 or -7724.

EK

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November 26, 2001

  
Charles Bowers  
Supervisory Patent Examiner  
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